

A proof of the Hanna-Neumann-Conjecture after Igor Mineyev and Warren Dicks

Hanna-Neumann-Conjecture (HNC) Let G be a free group, $A, B \subseteq G$ finitely generated subgroups. Then

$$F(A \cap B) \leq F(A) \cdot F(B)$$

where $F(G) = \max(\text{rank}(G)-1, 0)$ for any free group G .

[Hanna Neumann proved $F(A \cap B) \leq 2 \cdot F(A) \cdot F(B)$ in 1957]

(1990, Walter Neumann)

Strengthened-Hanna-Neumann-Conjecture (SHNC) Let G be a free group, $A, B \subseteq G$ fin. gen. subgroups. Let $S \subseteq G$ be a subset s.t. \exists bijection $S \rightarrow A \backslash G / B$. Then

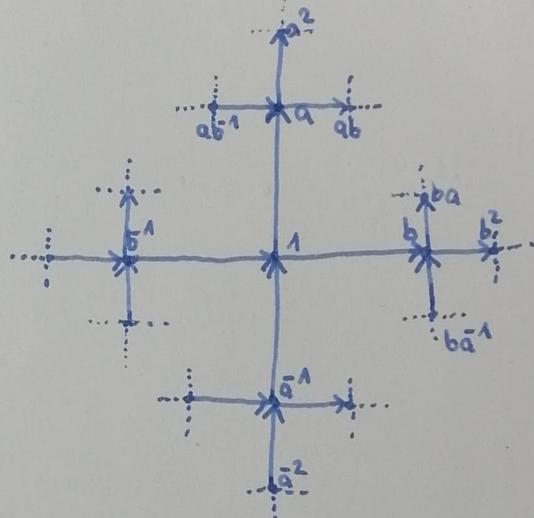
$$\sum_{s \in S} F(A^s \cap B) \leq F(A) \cdot F(B)$$

Some Notation:

- Let $F = \langle a, b \mid \rangle$ denote the free group of rank 2.

We assume that F is ordered by \prec (total order and for $g_1, g_2 \in F$ with $g_1 \prec g_2 \Rightarrow gg_1 \prec gg_2$)

- Let Γ denote the Cayley Graph of F :

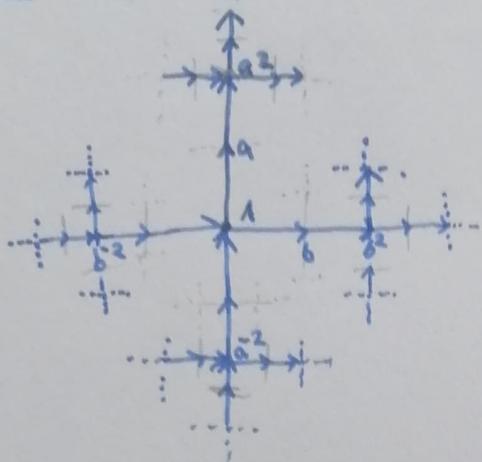


Important:

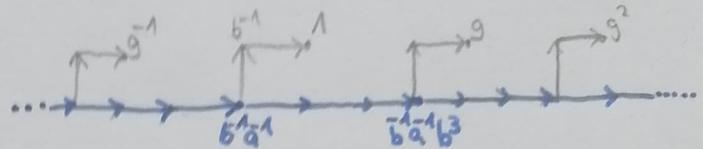
- F acts on this tree by Leftmultiplication (without inversion of edges)
- $V\Gamma$ (set of vertices of Γ) is ordered by \prec [$V\Gamma = F$]
- $E\Gamma$ (set of edges of Γ) is ordered by \prec [$E\Gamma \subseteq F \times F$, use \prec lexicographic]

• For $G \leq F$ denote by $\Gamma(G) = \cap \{T \subseteq \Gamma \mid G \cdot T = T\}$ the minimal G -invariant subtree of Γ

examples: $G_1 = \langle a^2, b^2 \rangle$



$G_2 = \langle \underbrace{b^5 a^{-1} b^3}_{=:g}, b^3 a b \rangle$



remark: if G is cyclic then $\Gamma(G)$ is a line

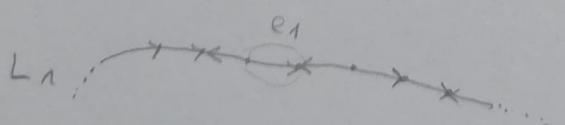
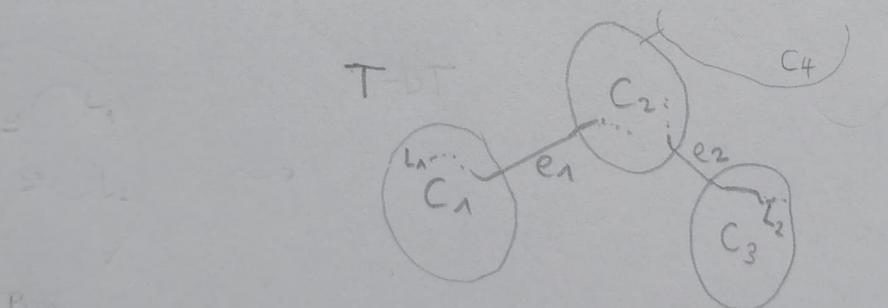
(a subtree where every vertex has exactly 2 incident edges)

Important fact: If G is finitely generated ($\text{rank}(G) < \infty$) then $|G \setminus E(\Gamma(G))| < \infty$
(number of G-Orbits on edge-set is also finite)

New definitions (Let $T \subseteq \Gamma$ be a subtree)

• A bridge of T is an edge $e \in ET$ s.t. \exists a line $L \subseteq T$ with $\max(EL) = e$ ($\forall d \in EL - \{e\} : d \not\sim e$). Set of bridges: BT

• An island of T is a connected component of $T - BT$. Set of islands: IT



$$\forall d \in EL_1, d \not\sim e \quad e \not\sim d$$

The island-theorem

Let $G \leq F$ be a subgroup, $G \neq \{1\}$ and $C \in I\Gamma(G)$ an island of $\Gamma(G)$.

Then (a) \Rightarrow (b) \Rightarrow (c) holds for

$$(a) \text{rank}(G) < \infty$$

$$(b) St_G(C) = \{g \in G \mid g \cdot C = C\} \neq \{1\}$$

(the stabilizer of C in G is non-trivial)

$$(c) \text{rank}(St_G(C)) = 1$$

(the stabilizer of C in G is cyclic)

proof (a) \Rightarrow (b):

$$\text{rank}(G) < \infty \Rightarrow |G \setminus E\Gamma(G)| < \infty. (*)$$

$$\text{define } \delta C := \{e \in B\Gamma(G) \mid e \text{ is } \underline{\text{incident}} \text{ to } C\}$$

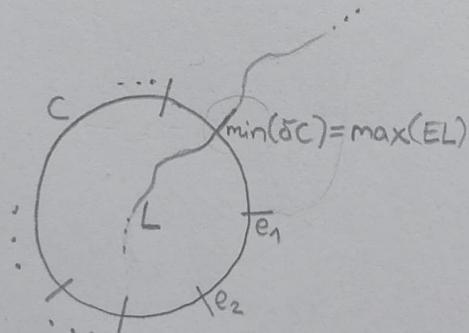


Case 1: $|\delta C| = 0 \Rightarrow C = \Gamma(G)$ and $St_G(C) = G \neq \{1\}$.

Case 2: $0 < |\delta C| < \infty$. Then $\exists \min(\delta C)$ ($\forall e \in \delta C - \{\min(\delta C)\} : e > \min(\delta C)$)

\exists Line $L \subseteq \Gamma(G) : \max(EL) = \min(\delta C)$.

We have $|EL \cap \delta C| = 1$



$$\Rightarrow |EC| = \infty$$

because one (infinite) component of $L - \{\min(\delta C)\}$ lies in C

with (*) $|G \setminus EC| < \infty$.

$\exists d, e \in EC$ with $d \neq e$ but $G \cdot d = G \cdot e$.

$$\Rightarrow \exists g \in G : g \cdot d = e \Rightarrow e \in EC \cap E(g \cdot C) \Rightarrow C = g \cdot C$$

(because \prec is preserved under Leftmult. bridges stay bridges, islands stay islands under Leftmult.)

$g \neq 1$ (because $e \neq d$) and $g \in St_G(C) \neq \{1\}$.

Case 3: $|SC| = \infty$. For $e \in SC$ either $\alpha(e) \in VC$ or $E(e) \in VC$
 denote this vertex by v_e for $e \in SC$. $\begin{matrix} \uparrow \\ \text{beginning of } e \end{matrix}$ $\begin{matrix} \uparrow \\ \text{end of } e \end{matrix} \Rightarrow |VC| = \infty$

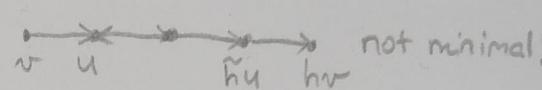
because $|G \setminus EP(G)| < \infty$, $|G \setminus \{v_e \mid e \in SC\}|$

$\exists d, e \in SC$ with $v_d \neq v_e$ but $G \cdot v_d = G \cdot v_e$

$\exists g \in G : g \cdot v_d = v_e \Rightarrow v_e \in VC \cap V(g \cdot C) \Rightarrow g \cdot C = C, g \in St_G(C) \neq \{1\}$

(b) \Rightarrow (c): We assume $St_G(C) \neq \{1\}$. (remember (c) $\text{rank}(St_G(C)) = 1$)

Choose $v \in VC$ and $h \in St_G(C)$ s.t. $[v, hv]$ minimal: (and $h \neq 1$)

$\nexists u \in VC, \tilde{h} \in St_G(C) : [u, \tilde{h}u] \subsetneq [v, hv]$ 

define the line $L := \bigcup_{i \in \mathbb{Z}} [hv, h^{i+1}v] \subseteq C$ (it is a line because of the minimality of $[v, hv]$)



[We have $\Gamma(\langle h \rangle) = L$ by definition of $\Gamma(\langle h \rangle)$]

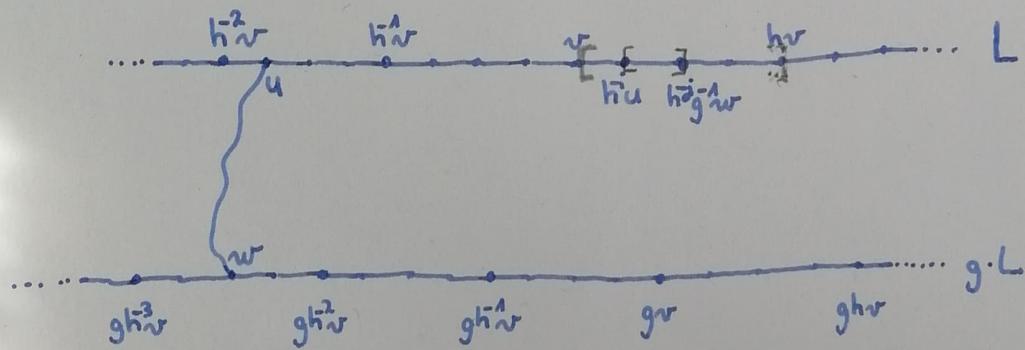
We want to show that $St_G(C) = \langle h \rangle$.

Let $g \in St_G(C)$. Then $g \cdot L \subseteq C$ as well.

Choose $u \in VL, w \in V(g \cdot L)$ s.t. $[u, w]$ is \subseteq -minimal.

Then $\exists i, j \in \mathbb{Z} : h^i u, h^j (g \cdot w) \in V[v, hv] - \{hv\}$

example



$$i = -2$$

$$j = -3$$

Case 1: $u=w$

then $\left[\underbrace{h^i w}_{\in V_C}, \underbrace{(h^{-j} g^i h^i) h^i w}_{\in St_G(C)} \right] = [h^i u, h^{-j} g^i w] \subset [v, hv]$

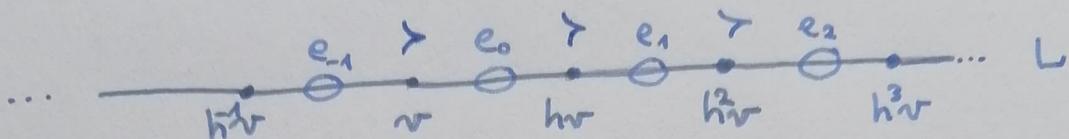
$\Rightarrow h^{-j} g^i h^i = 1 \Leftrightarrow g = h^{-j} \in \langle h \rangle$

(for $n \in \mathbb{Z}$)

Case 2: $u \neq w$ ($\Rightarrow L$ and $g \cdot L$ are disjoint).

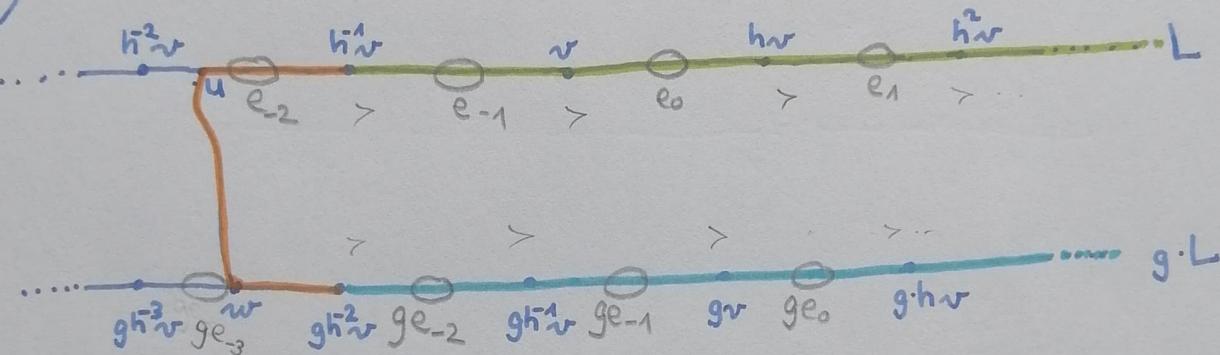
define: $e_n := \max(E[h^n v, h^{n+1} v])$

without loss of generality: $e_0 < e_{-1}$



[we also have $g \cdot e_{-1} > g \cdot e_0 > g \cdot e_1 > \dots$]

$(i=-2)$
 $j=-3$



Define $M_1 := \bigcup_{n=i+1}^{\infty} [h^n v, h^{n+1} v]$ and $M := M_1 \cup M_2 \cup M_3$

$$M_2 := [h^{i+1} v, gh^{i+1} v]$$

$$M_3 := \bigcup_{n=j+1}^{\infty} [gh^n v, gh^{n+1} v]$$

Then M is a line and $M \subseteq C$; with a maximal element $\nsubseteq (B\Gamma(G) \cap I\Gamma(G) = \emptyset)$, a contradiction.

□