

KUMMER SURFACES ASSOCIATED WITH GROUP SCHEMES

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ABSTRACT. We introduce Kummer surfaces $X = \text{Km}(C \times C)$ with the group scheme $G = \mu_2$ acting on the self-product of the rational cuspidal curve in characteristic two. The resulting quotients are normal surfaces having a configuration of sixteen rational double points of type A_1 , together with a rational double point of type D_4 . We show that our Kummer surfaces are precisely the supersingular K3 surfaces with Artin invariant $\sigma \leq 3$, and characterize them by the existence of a certain configuration of thirty curves. After contracting suitable curves, they also appear as normal K3-like coverings for simply-connected Enriques surfaces.

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INTRODUCTION

For each abelian surface A in characteristic $p \neq 2$ the quotient $Z = A/\{\pm 1\}$ by the sign involution is a normal surface with sixteen rational double points of type A_1 , and the minimal resolution of singularities $X = \text{Km}(A)$ is a K3 surface called *Kummer surface*. Over the complex numbers a K3 surface is a Kummer surface if and only if it contains sixteen disjoint (-2) -curves (Nikulin [15]). In this paper we call a non-singular rational curve on a K3 surface a (-2) -*curve* for simplicity. If $A = J$ is the jacobian variety of a curve of genus two, the Kummer surface X contains thirty-two distinguished (-2) -curves forming the so-called (16_6) -configuration (e.g. Griffiths and Harris [7], Chapter 6, page 787, Figure 21), and the existence of these thirty-two (-2) -curves characterizes the Kummer surface associated with a curve of genus 2 (Nikulin [14]).

Shioda [24] showed that a Kummer surface $X = \text{Km}(A)$ in odd characteristics is supersingular if and only if the abelian surface A is supersingular. For $p = 2$, however, Shioda [23] and Katsura [9] observed that the singularities on $Z = A/\{\pm 1\}$

are more complicated, and that X is a K3 surface if and only if A is not supersingular and then $\rho(X) \leq 20$. Indeed, for any supersingular abelian surface the quotient acquires an elliptic singularity, and X becomes a rational surface. Recall that an abelian variety is *supersingular* if it is isogenous to a product of supersingular elliptic curves.

The second author [20] obtained Kummer surfaces in characteristic $p = 2$ by replacing the supersingular abelian surface A by the self-product $C \times C$ of the rational cuspidal curve C , which is a *non-normal* surface, and the constant group $G = \{\pm 1\}$ by the additive group scheme $G = \alpha_2$, which is *non-reduced*. It turns out that for suitable G -actions, the quotients $Z = (C \times C)/G$ are normal, and the minimal resolutions of singularities X are supersingular K3 surfaces with Artin invariant $\sigma \leq 2$. The configuration of singularities is either $5D_4$ or $D_4 + 2D_8$.

Recall that for supersingular K3-surfaces, the isomorphism class of the Picard lattice is determined by a single integer $1 \leq \sigma \leq 10$ called the *Artin invariant*. Oguis [17] proved for odd primes that $\sigma \leq 2$ means that X is Kummer. For the Kummer surfaces $X = \text{Km}(C \times C)$ with group scheme $G = \alpha_2$ one also $\sigma \leq 2$. Up to isomorphism, there is a unique supersingular K3 surface with $\sigma = 1$. Dolgachev and the first author [6] characterized it, among other things, by the existence of forty-two (-2) -curves. Shimada and Zhang [22] showed that every supersingular K3 surface with $\sigma \leq 2$ is isomorphic to a Kummer surface with $G = \alpha_2$, by characterizing these Kummer surfaces in terms of the configurations of twenty-six (-2) -curves with dual graph given in Figure 2.

The main goal of this paper is to extend the construction $X = \text{Km}(C \times C)$ to the multiplicative group scheme $G = \mu_2$. It turns out that $Z = (C \times C)/G$ has only rational double points, and that their configuration is $16A_1 + D_4$, which is very close to the classical situation over the complex numbers. The Artin invariant now becomes $\sigma \leq 3$. Indeed, the construction of the Kummer surface with group scheme $G = \mu_2$ has two moduli coming from the possible embeddings $G \rightarrow \text{Aut}_{C \times C}$. Our principal result is a characterization of such Kummer surfaces.

Theorem. (See Thm. 4.1 and 5.2) *Let X be a K3 surface in characteristic $p = 2$. Then the following are equivalent:*

- (i) *There is an isomorphism $X \simeq \text{Km}(C \times C)$ for a Kummer surface with group scheme $G = \mu_2$.*
- (ii) *There is a configuration of thirty (-2) -curves on X with simple normal crossings and dual graph given in §3, Figure 1.*
- (iii) *The K3 surface X is supersingular with Artin invariant $\sigma \leq 3$.*

Keum [10] showed that every Kummer surface $X = \text{Km}(A)$ over the complex numbers is the K3-covering of some Enriques surface Y . This was extended to odd characteristics by Jang [8]. The first author [12], §3.3 established this also for our Kummer surface with Artin invariant $\sigma = 1$. Here we extend this to all Kummer surfaces $X = \text{Km}(C \times C)$ with group scheme $G = \mu_2$:

Theorem. (See Thm. 6.1) *Let X be a supersingular K3 surface with Artin invariant $\sigma \leq 3$ in characteristic $p = 2$. Then there is a contraction $X \rightarrow X'$ of twelve (-2) -curves such that the normal K3 surface X' is the K3-like covering of some simply-connected Enriques surface Y .*

The paper is organized as follows: In Section 1 we recall some facts on group schemes G of height ≤ 1 and restricted Lie algebras \mathfrak{g} that will be used throughout. Section 2 contains an analysis of G -actions on the self-product $C \times C$ of the rational cuspidal curve. This is used in Section 3 to construct our Kummer surface $X = \text{Km}(C \times C)$ with group scheme G , where we also determine the dual graph for the distinguished curves and compute the Artin invariant. Our characterization with such configuration of curves occupies Section 4. In Section 5 we give the characterization with Artin invariants.

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1. SOME RESTRICTED LIE ALGEBRAS

We start by discussing some restricted Lie algebras from a purely algebraic point of view. Let k be a ground field of characteristic $p > 0$. Recall that a *restricted Lie algebra* is a Lie algebra \mathfrak{g} , endowed with an additive self-map $x \mapsto x^{[p]}$ called *p -map*. The latter is related to scalar multiplication, Lie brackets and vector addition according to the following three axioms:

$$(1) \quad (\lambda x)^{[p]} = \lambda^p x, \quad [x^{[p]}, y] = (\text{ad}_x)^p(y), \quad (x + y)^{[p]} = x^{[p]} + y^{[p]} + \sum_{r=1}^{p-1} s_r(x, y)$$

for all vectors $x, y \in \mathfrak{g}$ and scalars $\lambda \in k$. Here $\text{ad}_x(y) = [x, y]$ is the adjoint representation, and $s_r(x, y)$ are certain universal expressions involving nested Lie brackets. For example, we have $s_1(x, y) = [x, y]$ in characteristic two, and $s_1(x, y) = [x, [x, y]]$ in characteristic three. For details, we refer to Demazure and Gabriel [5], Chapter II, §7, No. 3.

A vector $x \in \mathfrak{g}$ is called *p -closed* if it is nonzero, and $x^{[p]} \in \lambda x$ for some scalar $\lambda \in k$. In other words, the line $kx \subset \mathfrak{g}$ is a restricted Lie subalgebra. For each unit $\epsilon \in k^\times$, we get $(\epsilon x)^{[p]} = \epsilon^{p-1} \lambda(\epsilon x)$, and we see that the class of λ in $k/k^{\times p-1}$ depends only on the line, and not on the vector. One may regard this class as an “eigenvalue” for the p -map, and $x \in \mathfrak{g}$ as an “eigenvector”.

For each group scheme G , the Lie algebra $\mathfrak{g} = \text{Lie}(G)$ is endowed with a p -map in a canonical way. A group scheme G on which the relative Frobenius map $F : G \rightarrow G^{(p)}$ is trivial is called *of height ≤ 1* . In fact, the functor $G \mapsto \text{Lie}(G)$ is an equivalence between the category of finite group schemes of height ≤ 1 and the category of finite-dimensional restricted Lie algebras ([5], Chapter II, §7, No. 4). Such group schemes admit p -basis, and their order $|G| = h^0(\mathcal{O}_G) = p^d$ is given by $d = \dim_k(\mathfrak{g})$. In particular, the lines generated by p -closed vectors $x \in \mathfrak{g}$ correspond to subgroup schemes $H \subset G$ of order p . These are twisted form of $H = \mu_p$ or $H = \alpha_p$. In characteristic $p = 2$ we actually have $H = \mu_2$ or $H = \alpha_2$.

We now examine a special type of restricted Lie algebras: Let \mathfrak{a} be a finite-dimensional restricted Lie algebra, with trivial Lie bracket $[a, a'] = 0$ and trivial

p -map $a^{[p]} = 0$. Let $\mathfrak{b} = ke$ be the one-dimensional restricted Lie algebra, with basis vector $e \in \mathfrak{b}$ and p -map $(\lambda e)^{[p]} = \lambda^p e$. On the vector space sum $\mathfrak{a} \oplus \mathfrak{b}$, we define Lie bracket and p -map via the formulas

$$(2) \quad [a + \lambda e, a' + \lambda' e] = \lambda a' - \lambda' a \quad \text{and} \quad (a + \lambda e)^{[p]} = \lambda^{p-1}(a + \lambda e).$$

The former satisfies the axioms for Lie brackets, and the resulting Lie algebra is written as $\mathfrak{g} = \mathfrak{a} \rtimes \mathfrak{b}$. This is indeed the semi-direct product with respect to the homomorphism of Lie algebras $\mathfrak{b} \rightarrow \mathfrak{gl}(\mathfrak{a})$, $e \mapsto \text{id}_{\mathfrak{a}}$.

Proposition 1.1. *The above p -map endows $\mathfrak{g} = \mathfrak{a} \rtimes \mathfrak{b}$ with the structure of a restricted Lie algebra, such that the inclusions of \mathfrak{a} and \mathfrak{b} are homomorphisms of restricted Lie algebras. Moreover, this p -map is unique, and each vector in \mathfrak{g} is p -closed.*

Proof. Uniqueness follows from the axioms (1). Since the homomorphism of Lie algebras $\rho : \mathfrak{b} \rightarrow \mathfrak{gl}(\mathfrak{a})$ satisfies $\rho(x^{[p]}) = \rho(x)^p$, the existence of a p -map follows from Strade and Farnsteiner [27], Theorem 2.5. To check that it is given by our formula, it suffices to treat the case $\mathfrak{a} = ka$, which was verified in loc. cit. example 4 on page 72. From (2) we see that each vector in the semidirect product is p -closed. \square

Let G be the finite group scheme of height ≤ 1 with $\text{Lie}(G) = \mathfrak{g}$. Then the closed subschemes $H \subset G$ of order p correspond to lines in the vector space \mathfrak{g} , or points on the projectivization $\mathbb{P}(\mathfrak{g})$.

Now consider the direct sum $\mathfrak{g} \oplus \mathfrak{g}$ of restricted Lie algebras. Here the Lie bracket and p -map are given by

$$[(x, x'), (y, y')] = ([x, y], [x', y']) \quad \text{and} \quad (x, x')^{[p]} = (x^{[p]}, x'^{[p]}).$$

A straight-forward computation shows:

Proposition 1.2. *A vector $(x, x') \in \mathfrak{g} \oplus \mathfrak{g}$ whose entries are non-zero is p -closed if and only if $x = a + \lambda e$ and $x' = a' + \lambda e$ for some scalars $\lambda, \lambda' \in k$ satisfying $\lambda^{p-1} = \lambda'^{p-1}$. In this case, we have $(x, x')^{[p]} = \lambda^{p-1}(x, x')$.*

We see that the set of p -closed vectors is the union of the restricted Lie subalgebras $\mathfrak{g} \oplus 0$ and $0 \oplus \mathfrak{g}$ and $(\mathfrak{a} \oplus \mathfrak{a}) \rtimes \mathfrak{b}$, where the latter is formed with the graphs $\mathfrak{b} = \Gamma_{\zeta} \subset \mathfrak{b} \oplus \mathfrak{b}$ from the multiplication by $(p-1)$ -th roots of unity $\zeta \in k^{\times}$.

2. DIAGONAL ACTIONS AND RATIONAL POINTS

Let k be a ground field of characteristic $p = 2$, and consider the rational cuspidal curve

$$C = \text{Spec } k[u^2, u^3] \cup \text{Spec } k[u^{-1}].$$

As explained by the second author in [20], Section 3 the sheaf $\Omega_{C/k}^1$ of Kähler differentials is invertible modulo torsion, and the dual sheaf $\Theta_{C/k}$ is invertible of degree four. By Riemann–Roch, $H^0(C, \Theta_{C/k})$ is four-dimensional. As a restricted Lie algebra this is a semidirect product $\mathfrak{a} \rtimes \mathfrak{b}$ studied in the previous section, where the first factor \mathfrak{a} is generated by the vector fields $u^{-2}D_u, D_u, u^2D_u$ and the second factor \mathfrak{b} is generated by uD_u . Here the derivation $D_u : \mathcal{O}_C \rightarrow \mathcal{O}_C$ is determined by $D_u(u) = 1$. Note that the basis vectors can be rewritten as

$$u^{-2}D_u = u^{-4}D_{u^{-1}}, \quad D_u = u^{-2}D_{u^{-1}}, \quad u^2D_u = D_{u^{-1}} \quad \text{and} \quad uD_u = u^{-1}D_{u^{-1}}.$$

By Proposition 1.1, each non-zero vector field $\delta \in H^0(C, \Theta_{C/k})$ is p -closed, and thus defines a faithful action of the height-one group scheme G with $\text{Lie}(G) = k\delta$. Note that we have $G \simeq \alpha_2$ if $\delta \in \mathfrak{a}$ and $G \simeq \mu_2$ else.

We now consider the self-product $C \times C$, which is a non-normal integral surface. As discussed in Section 1, the restricted Lie algebra

$$(3) \quad H^0(C \times C, \Theta_{C \times C/k}) = (\mathfrak{a} \rtimes \mathfrak{b}) \oplus (\mathfrak{a} \rtimes \mathfrak{b})$$

contains the restricted Lie subalgebra $(\mathfrak{a} \oplus \mathfrak{a}) \rtimes \mathfrak{b}$, whose elements have the form

$$(4) \quad \delta = (\lambda_4 u^{-4} + \lambda_2 u^{-2} + \lambda_0) D_{u^{-1}} + (\mu_4 v^{-4} + \mu_2 v^{-2} + \mu_0) D_{v^{-1}} + \tau(u D_u + v D_v)$$

for some scalars λ_i, μ_i and a common $\tau = \lambda_1 = \mu_1$. Here we use the two indeterminates u, v to describe the first and second factor in $C \times C$, respectively.

Now let G be a local group scheme order p acting on $C \times C$. In light of the decomposition (3), this is the diagonal action stemming from G -actions on the factors.

We now additionally assume that the induced actions on both factors are faithful. According to Proposition 1.2, such actions arise from the non-zero vector field δ as in (4). Note that $G = \mu_2$ if and only if $\tau \neq 0$, and $G = \alpha_2$ otherwise.

Consider the quotient $Z = (C \times C)/G$, which is an integral surface. The second projection $\text{pr}_2 : C \times C \rightarrow C$ induces a morphism

$$Z = (C \times C)/G \longrightarrow C/G = \mathbb{P}^1.$$

Here the projective line is given by $\mathbb{P}^1 = \text{Spec } k[v^2] \cup \text{Spec } k[v^{-2}]$. Write $K = k(v^{-2})$ for its function field. The generic fiber $Z_K = Z \otimes_{\mathcal{O}_{\mathbb{P}^1}} K$ is a twisted form of the rational cuspidal curve $C_K = C \otimes_k K$. We compute its K -rational points in dependence of the vector field δ :

Proposition 2.1. *The set of K -rational points in the regular locus $\text{Reg}(Z_K)$ corresponds to the solution $(\alpha, \beta) \in K^2$ of the system of equations*

$$\lambda_4 \alpha^4 + \mu_4 \alpha = 0, \quad \lambda_2 \alpha^2 + \mu_2 \alpha = 0, \quad \lambda_4 \beta^4 + \lambda_2 \beta^2 + \lambda_0 + \tau \beta = \mu_0 \alpha.$$

Proof. Write $L = k(v^{-1})$ for the function field of the rational cuspidal curve $C = \text{Spec } k[v^2, v^3] \cup \text{Spec } k[v^{-1}]$. Each K -rational point on the regular locus of $Z_K \subset Z$ has as preimage on $C_L \subset C \times C$ a G -stable L -valued point. As explained by the second author in [20], proof for Proposition 7.2, these correspond to G -equivariant K -morphisms $\text{Spec}(L) \rightarrow \text{Reg}(C_K) = \text{Spec } K[u^{-1}]$. To make the actions explicit we consider the polynomials

$$\begin{aligned} P(u^{-1}) &= \lambda_4 u^{-4} + \lambda_2 u^{-2} + \lambda_0 + \tau u^{-1}, \\ Q(v^{-1}) &= \mu_4 v^{-4} + \mu_2 v^{-2} + \mu_0 + \tau v^{-1} \end{aligned}$$

that appear in the vector field (4). Then G acts on C_K via the vector field $P(u^{-1})D_{u^{-1}}$ and on $\text{Spec}(L)$ by the derivation $Q(v^{-1})D_{v^{-1}}$. The morphism $\text{Spec}(L) \rightarrow \text{Reg}(C_K)$ is given by a homomorphism of K -algebras

$$K[u^{-1}] \longrightarrow L, \quad u^{-1} \longmapsto \alpha v^{-1} + \beta.$$

for some $\alpha, \beta \in K$. The G -equivariance means that the substitution $u^{-1} = \alpha v^{-1} + \beta$ turns the derivation $P(u^{-1})D_{u^{-1}}$ into the derivation $Q(v^{-1})D_{v^{-1}}$. The latter condition boils down to the equation $P(\alpha v^{-1} + \beta) = \alpha Q(v^{-1})$. Comparing coefficients

in this polynomial equation for the indeterminate v^{-1} gives the desired system of equations in α, β . \square

The solutions for the first two equations $\lambda_4\alpha^4 + \mu_4\alpha = 0$ and $\lambda_2\alpha^2 + \mu_2\alpha = 0$ form a vector space over \mathbb{F}_2 . One easily sees that the number of solutions is of the form 2^m for some integer $0 \leq m \leq 2$, provided that $\lambda_4 \neq 0$. This leads to a formula for the number of rational points on the generic fiber:

Corollary 2.2. *Suppose that $\lambda_4, \mu_4 \neq 0$, that the generic fiber Z_K is normal, and that k is algebraically closed. Let $0 \leq m \leq 2$ be as above. Then the number of rational points in the generic fiber for the morphism $Z \rightarrow \mathbb{P}^1$ is given by*

$$|Z_K(K)| = \begin{cases} 2^{2+m} & \text{if } G = \mu_2; \\ 2^{1+m} & \text{if } G = \alpha_2 \text{ and } \lambda_2 \neq 0; \\ 2^m & \text{if } G = \alpha_2 \text{ and } \lambda_2 = 0. \end{cases}$$

For $G = \mu_2$, each $0 \leq m \leq 2$ occurs for a suitable choice of $\lambda_2, \mu_2 \in k$. For $G = \alpha_2$ the occurring values are $m \in \{0, 1\}$ for $\lambda_2 \neq 0$, and $m \in \{0, 2\}$ for $\lambda_2 = 0$.

Proof. First note that all the solutions $\alpha, \beta \in K$ for the equations in Proposition 2.1 already lie in k , because this field is relatively algebraically closed in K . Moreover, the set $Z_K(K)$ is contained in $\text{Reg}(Z_K)$, because the curve Z_K is normal.

Suppose first that $G = \mu_2$, in other words $\tau \neq 0$. Then the third equation $\lambda_4\beta^4 + \lambda_2\beta^2 + \lambda_0 + \tau\beta = \mu_0\alpha$ is separable, thus for each solution α of the first two equation one gets four solutions β of the third equation. This gives the desired formula $|Z_K(K)| = 2^m \cdot 4$. For λ_2 generic the non-zero solution $\alpha = \mu_2/\lambda_2$ of the second equation is not a solution of the first equation, thus $m = 0$. The other extreme $\lambda_2 = \mu_2 = 0$ yields $m = 2$, and for suitable choices of λ_2, μ_2 we also get $m = 1$.

Now suppose $G = \alpha_2$, such that $\tau = 0$. If $\lambda_2 \neq 0$, the second equation in Proposition 2.1 has two solutions $\alpha' = 0$ and $\alpha'' \neq 0$, and the third equation also has two solutions. Clearly, $\alpha' = 0$ solves the first equation, and by choosing μ_4 in a suitable way, α'' may or may not solve it. This gives $m \in \{0, 1\}$. Finally, suppose $\lambda_2 = 0$. Then the third equation has a single root, whereas the first equation has four roots. All of them solve the second equation provided $\mu_2 = 0$, but only $\alpha = 0$ is a root if $\mu_2 \neq 0$. This gives $m \in \{0, 2\}$. \square

3. KUMMER SURFACES ASSOCIATED WITH GROUP SCHEMES

We keep the assumptions of the previous section, such that the group scheme G acts on the self-product $C \times C$ of the rational cuspidal curve in characteristic $p = 2$. The action is given by some global vector field

$$(5) \quad \delta = (\lambda_4u^{-4} + \lambda_2u^{-2} + \lambda_0)D_{u^{-1}} + (\mu_4v^{-4} + \mu_2v^{-2} + \mu_0)D_{v^{-1}} + \tau(uD_u + vD_v)$$

with seven coefficients $\lambda_i, \mu_i, \tau \in k$. We assume that the action is faithful on each factor. For the sake of exposition, we also assume that k is algebraically closed. Write $Z = (C \times C)/G$ for the resulting quotient, which is an integral surface. As in [20], Proposition 4.3 one verifies:

Proposition 3.1. *The following conditions are equivalent:*

- (i) *The integral scheme $Z = (C \times C)/G$ is normal.*
- (ii) *Both coefficients $\lambda_4, \mu_4 \in k$ are non-zero.*
- (iii) *The G -actions on the two factors C are free at the singular point.*

From now on we assume that indeed $\lambda_4, \mu_4 \neq 0$, and proceed to study the resulting normal surface $Z = (C \times C)/G$. The fixed scheme $(C \times C)^G$ for the group scheme action thus lies in the regular locus, and is thus the zero-scheme for the equations $\lambda_4 u^{-4} + \lambda_2 u^{-2} + \lambda_0 + \tau u^{-1} = 0$ and $\mu_4 v^{-4} + \mu_2 v^{-2} + \mu_0 + \tau v^{-1} = 0$. This is a finite subscheme of length $l = 16$. The point on $C \times C$ that lies over the singular point in both factors is given by $u^2 = u^3 = v^2 = v^3 = 0$ and called the *quadruple point*.

Proposition 3.2. *The G -action on $C \times C$ and the normal surface $Z = (C \times C)/G$ has the following properties:*

- (i) *The singular locus $\text{Sing}(Z)$ consists of the images of the fixed points, together with the image of the quadruple point. The latter is always a rational double point of type D_4 .*
- (ii) *For $G = \mu_2$, the fixed scheme $(C \times C)^G$ is reduced, and its image on Z consists of $l = 16$ points, which are rational double points of type A_1 .*
- (iii) *For $G = \alpha_2$ the fixed scheme is non-reduced, and its image on Z either consists of four rational double points of type D_4 , or two rational double points of type D_8 , or one elliptic singularity. The latter holds if and only if $\lambda_2 = \mu_2 = 0$.*
- (iv) *The minimal resolution of singularities $X \rightarrow (C \times C)/G$ is a K3 surface if and only if all singularities on $(C \times C)/G$ are rational, that is, $\lambda_2, \mu_2 \in k$ do not vanish simultaneously.*

Proof. The case that G is additive is already treated by the second author in [20], under the assumption $\lambda_4 = \mu_4 = 1$ and $\lambda_0 = \mu_0$. The general case works in virtually the same. Let us make the singularities at the fixed points explicit:

The fixed points are given by the vanishing of the polynomials $P = \lambda_4 u^{-4} + \lambda_2 u^{-2} + \lambda_0 + \tau u^{-1}$ and $Q = \mu_4 v^{-4} + \mu_2 v^{-2} + \mu_0 + \tau v^{-1}$. Moreover, the kernel $R \subset k[u^{-1}, v^{-1}]$ of the derivation δ is generated as k -algebra by the elements $a = u^{-2}$ and $b = v^{-2}$ and

$$c = \tau u^{-1} v^{-1} + (\mu_4 v^{-4} + \mu_2 v^{-2} + \mu_0) u^{-1} + (\lambda_4 u^{-4} + \lambda_2 u^{-2} + \lambda_0) v^{-1}.$$

These three generators are subject to the single relation

$$c^2 + \tau^2 ab + (\mu_4^2 b^4 + \mu_2^2 b^2 + \mu_0^2) a + (\lambda_4^2 a^4 + \lambda_2^2 a^2 + \lambda_0^2) b = 0.$$

If $G = \mu_2$ the polynomials P and Q are separable, one easily checks that this equation indeed defines sixteen rational double points of type A_1 . The remaining assertions are as in [20], Section 5 and 6. \square

From now on, we assume that the coefficients $\lambda_2, \mu_2 \in k$ do not vanish simultaneously, such that the minimal resolution $X \rightarrow (C \times C)/G$ is a supersingular K3 surface. Note that the group scheme G , the action on $C \times C$ and the resulting K3 surface X all depend on the vector field (5). By abuse of notation we write

$$X = \text{Km}(C \times C)$$

and call it the *Kummer surface associated with the group scheme G* . Note that we either have $G = \mu_2$ or $G = \alpha_2$.

The vector field in (5) depends on the seven parameters $\lambda_i, \mu_i, \tau \in k$. However, the isomorphism class of the Kummer surface $X = \text{Km}(C \times C)$ has only two moduli, because the image of the embedding $G \rightarrow \text{Aut}_{C \times C}$ depends only on the line $k\delta \subset H^0(C \times C, \Theta_{C \times C})$ rather than the vector δ , and the canonical action of $\mathbb{G}_a \rtimes \mathbb{G}_m$ on the affine line \mathbb{A}^1 , which extends to an action on C , yields re-parameterization of the indeterminates u^{-1} and v^{-1} .

Now suppose $X = \text{Km}(C \times C)$ is a Kummer surface with group scheme $G = \mu_2$. Owing to its construction as minimal resolution of singularities $X \rightarrow (C \times C)/G$, this K3 surface contains thirty *distinguished curves*

$$(6) \quad E_{ij}, \quad E_r, \quad C_s, \quad C'_s \quad (1 \leq i, j \leq 4, \quad 0 \leq r \leq 3, \quad 0 \leq s \leq 4)$$

defined as follows: The two projections $\text{pr}_1, \text{pr}_2 : C \times C \rightarrow C$ induce two fibrations $f, f' : X \rightarrow \mathbb{P}^1$. The $E_{ij} \subset X$ are the exceptional curves lying over the images $(a_i, a'_j) \in \mathbb{P}^1 \times \mathbb{P}^1$ of the sixteen fixed points in $C \times C$. The $E_r \subset X$ are the exceptional curves over the image $(a_0, a'_0) = (0, 0)$ of the quadruple point. Finally, the $C_s, C'_s \subset X$ are the strict transforms of the fibers $f^{-1}(a_s)$ and $f'^{-1}(a'_s)$, respectively.

For any genus-one fibration $f : S \rightarrow \mathbb{P}^1$ on a K3 surface S with a section $O \subset S$, the *trivial lattice* $T(S/\mathbb{P}^1)$ is the sublattice inside $\text{Pic}(S)$ generated by the irreducible components of the closed fibers, together with the chosen section.

Proposition 3.3. *For the group scheme $G = \mu_2$, the thirty distinguished curves on the Kummer surface $X = \text{Km}(C \times C)$ listed in (6) form a configuration of (-2) -curves with simple normal crossings whose dual graph is depicted in Figure 1. Moreover, the Kummer surface X has Picard number $\rho = 22$.*

Proof. This can be checked with a local computation with rings of invariants. However, we can also argue that subconfigurations like $C_1 + E_{11} + \dots + E_{14}$ appear as set-theoretical fibers for genus-one fibrations $f : X \rightarrow \mathbb{P}^1$. Hence the components must be (-2) -curves with simple normal crossings, and the shape of the dual graph follows.

The above genus-one fibration $f : X \rightarrow \mathbb{P}^1$ is actually induced by the first projection $\text{pr}_1 : C \times C \rightarrow C$, hence it is quasielliptic. It has five reducible fibers with Kodaira symbol I_0^* , and $C'_1 \subset X$ is a section. In turn, the trivial lattice $T(X/\mathbb{P}^1) \subset \text{Pic}(X)$, which is generated by the vertical curves disjoint from C'_1 , together with a fiber and C'_1 , has rank $22 = 5 \cdot 4 + 2$. It follows that our K3 surface X has Picard number $\rho = 22$. \square

Note that removing the curves $C_0, C'_0, E_0, \dots, E_3$ yields the dual graph that occurs in the classical Kummer surface $X = \text{Km}(E_1 \times E_2)$ in characteristic 0 attached to the product of elliptic curves, where the quotients $Z = (E_1 \times E_2)/\{\pm 1\}$ acquires sixteen rational double points of type A_1 . For the sake of completeness, we depict the distinguished curves on the Kummer surface $X = \text{Km}(C \times C)$ associated with the group scheme $G = \alpha_2$ and generic action in Figure 2.

A finitely generated free abelian group endowed with a non-degenerate \mathbb{Z} -valued bilinear form is called a *lattice*. A lattice L is *p-elementary* if the discriminant group L^*/L is an elementary abelian p -group. Here $L^* = \text{Hom}(L, \mathbb{Z})$. Let L be a 2-elementary even lattice of rank $r \geq 2$ with signature $\text{sign}(L) = (1, r - 1)$, and assume that the discriminant bilinear form $b_L : L^*/L \times L^*/L \rightarrow \frac{1}{2}\mathbb{Z}/\mathbb{Z}$ is alternating,

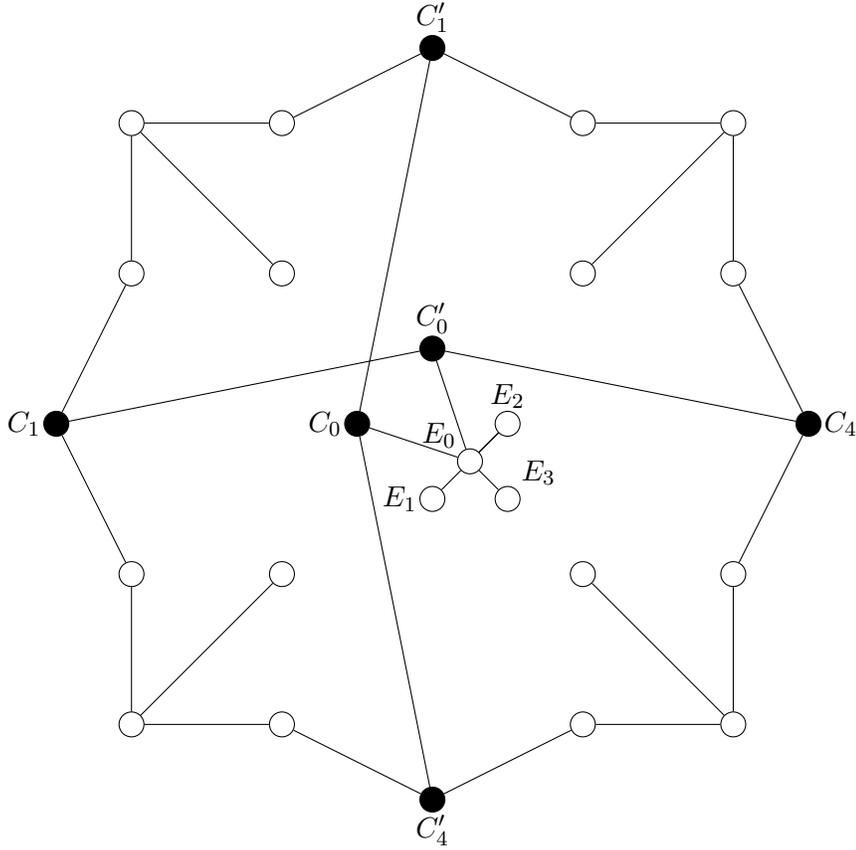


FIGURE 2. Dual graph for the twenty-six distinguished (-2) -curves on $\text{Km}(C \times C)$ associated with the group scheme $G = \alpha_2$ and generic action

is called *totally singular* (Bourbaki’s terminology [4], §9, No. 2, Definition 2). The discriminant group for the overlattice L' is given by the subquotient H^\perp/H , so the bilinear form $b_{L'}$ stays alternating. In turn, the invariants for the overlattice L' are given by the formulas $r' = r$ and $\sigma' = \sigma - \dim_{\mathbb{F}_2}(H)$. It is not difficult to count the number of overlattices:

Lemma 3.4. *The number $n \geq 0$ of overlattices $L \subset L'$ with index $[L' : L] = 2$ is given by the formula $n = 2^{2\sigma-1} + (-1)^\epsilon 2^{\sigma-1} - 1$ for the exponent $\epsilon = (r - 2)/4$.*

Proof. The number of totally isotropic subgroups $H \subset L^*/L$ of dimension 1 corresponds to the number of non-trivial zeros for the quadratic equation $q_L = 0$. As above, set $q = t_1 t_2 + \dots + t_{2\sigma-1} t_{2\sigma}$. The number of zeros for $q = 0$ is given by $2^{2\sigma-1} + 2^{\sigma-1}$, whereas $q + t_1^2 + t_2^2 = 0$ has $2^{2\sigma-1} - 2^{\sigma-1}$ zeros (see for example [26], Theorem 4.16). The assertion follows from (7). \square

For supersingular K3 surfaces X in arbitrary characteristics $p > 0$, the Picard lattice $L = \text{Pic}(X)$ has rank $\rho = 22$, the intersection form is even, and the discriminant group has order $|L^*/L| = p^n$ for some $n \geq 0$. Artin [2] showed that the lattice L is p -elementary, with even exponent $n = 2\sigma$, at least for odd characteristics. The required results on flat cohomology were established a little later by Milne [13].

Rudakov and Shafarevich extended this to $p = 2$ and established that the discriminant bilinear form b_L is alternating (Rudakov and Shafarevich [18], Theorem 3). In turn, the Picard lattice $L = \text{Pic}(X)$ is determined up to isometry by the numbers $r = 22$ and $1 \leq \sigma \leq 10$. The latter is called the *Artin invariant*.

Proposition 3.5. *For the group scheme $G = \mu_2$, the Artin invariant of the Kummer surface $X = \text{Km}(C \times C)$ is an integer $1 \leq \sigma \leq 3$, and each such number occurs.*

Proof. Consider the fibration $X \rightarrow \mathbb{P}^1$ induced from $\text{pr}_2 : C \times C \rightarrow C$, and write $K = k(\mathbb{P}^1)$ for the function field of the projective line. We saw in Corollary 2.2 that the number of K -rational points in the generic fiber X_K takes the form $|X_K(K)| = 2^{2+m}$ with $0 \leq m \leq 2$. Moreover, each such integer can be realized with suitable vector fields $\delta \in H^0(C \times C, \Theta_{C \times C})$.

From Proposition 3.3 we deduce that $X \rightarrow \mathbb{P}^1$ has exactly five singular fibers, all of which are of type I_0^* . In turn, the trivial lattice $L = T(X/\mathbb{P}^1)$ inside $P = \text{Pic}(X)$ has discriminant $\text{disc}(L) = -2^{2 \cdot 5}$, whereas the full Picard lattice has $\text{disc}(P) = -2^{2\sigma}$. Finally, the index for the sublattice is given by $[P : L] = 2^{2+m}$. This gives $10 = 2\sigma + 2(2 + m)$, and thus $\sigma = 3 - m$. The assertion now follows. \square

Let $L \subset \text{Pic}(X)$ be the sublattice generated by the distinguished curves in Figure 1. This lattice has invariants $r = 22$ and $\sigma = 3$, and occurs for all Kummer surfaces $X = \text{Km}(C \times C)$ associated with the group scheme $G = \mu_2$. By Lemma 3.4, there are $n_2 = 27$ overlattices $L \subset L'$ with invariant $\sigma' = 2$. Moreover, for fixed L' there are five further overlattices $L' \subset L''$ with invariant $\sigma'' = 1$. In total, there are $n_1 = \frac{5n_2}{3} = 45$ such overlattices $L \subset L''$. We saw above that at least one L' and one L'' appears as Picard groups for Kummer surfaces $X = \text{Km}(C \times C)$. We do not know which of them actually occur in this way.

4. CHARACTERIZATION WITH CONFIGURATIONS OF CURVES

Throughout this section we work over an algebraically closed ground field k of characteristic $p \geq 0$. Over the complex numbers, the classical Kummer surfaces $X = \text{Km}(A)$ with group $G = \{\pm 1\}$ attached to an abelian surface A can be characterized by the existence of sixteen disjoint (-2) -curves (Nikulin [15]). Those coming from jacobians of genus-two curves are characterized by a (16_6) -configuration of thirty-two (-2) -curves (Nikulin [14]). Kummer surfaces of product type, which arise from a product $A = E_1 \times E_2$ of elliptic curves, are also characterized by a *double Kummer pencil*, which comprises twenty-four (-2) -curves, as in Figure 1 but without $E_0 + \dots + E_3$ (see Remark 4.2 and Shioda and Inose [25], Section 2). Our main result is a characterization for the Kummer surfaces $\text{Km}(C \times C)$ associated with the group scheme $G = \mu_2$:

Theorem 4.1. *Let X be a K3 surface containing a configuration of thirty (-2) -curves with normal crossings and dual graph as in Figure 1. Then the characteristic must be $p = 2$, and X is isomorphic to a Kummer surface $\text{Km}(C \times C)$ associated with the group scheme $G = \mu_2$.*

Proof. We first construct a jacobian quasielliptic fibration $f : X \rightarrow \mathbb{P}^1$. Consider the following divisors:

$$(8) \quad C_0 + 2E_0 + E_1 + E_2 + E_3 \quad \text{and} \quad 2C_i + E_{i,1} + \dots + E_{i,4}, \quad 1 \leq i \leq 4.$$

These are pairwise disjoint, and each forms a singular fiber of type I_0^* . Let $f : X \rightarrow \mathbb{P}^1$ be the resulting genus-one fibration. It is jacobian because the curve C'_1 provides a section. The trivial lattice $T(X/\mathbb{P}^1)$ has rank $r \geq 22$. It follows that the K3 surface X has Picard number $\rho = 22$ and the Mordell–Weil group $\text{MW}(X/\mathbb{P}^1)$ is finite. Consequently the above five divisors are the reducible fibers, and we have $p > 0$. The Picard lattice $\text{Pic}(X)$ has discriminant $-p^{2\sigma}$. The sublattice $L \subset \text{NS}(X)$ generated by the irreducible curves appearing in (8), together with a section is isomorphic to $U \oplus D_4^{\oplus 5}$ which has even discriminant -2^{10} , and we conclude $p = 2$. Furthermore, the fibration $f : X \rightarrow \mathbb{P}^1$ is quasielliptic (Rudakov and Shafarevich [18], Proposition on page 150).

By symmetry, the curves

$$(9) \quad C'_0 + 2E_0 + E_1 + E_2 + E_3 \quad \text{and} \quad 2C'_j + E_{1,j} + \dots + E_{4,j}, \quad 1 \leq j \leq 4$$

give another such fibration $f' : X \rightarrow \mathbb{P}^1$. Using the dual graph, we compute the intersection number $f^{-1}(\infty) \cdot f'^{-1}(\infty)$ between the fibers as

$$(2C_1 + \sum_i E_{1,i}) \cdot (2C'_1 + \sum_j E_{j,1}) = (2C_1 + E_{11}) \cdot (2C'_1 + E_{11}) = 2.$$

In turn, the resulting morphism $(f, f') : X \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ is an alteration of degree two, which means a proper surjection between integral scheme whose generic fiber has length two. Let $X \rightarrow Z \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ be its Stein factorization. The morphism contracts precisely the irreducible curves $C \subset X$ that are vertical for both fibrations. These curves correspond to the white vertices in the Figure 1, thus form an ADE-configuration of the type $16A_1 + D_4$. In turn, Z is a normal K3 surface with Picard number $\rho = 2$, coming with a finite flat morphism $Z \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$.

Let $z_{ij} \in Z$ be the images of the exceptional curves $E_{ij} \subset X$, and let $z \in Z$ be the image of $E_0 \cup \dots \cup E_3$. The complement of these seventeen singular points is the regular locus $U = \text{Reg}(Z)$. We now construct an invertible sheaf on U whose class in $\text{Pic}(U)$ has order two, such that the resulting μ_2 -torsor will lead to the desired normal Kummer surface. Let $g : Z \rightarrow \mathbb{P}^1$ be the morphism induced by the fibration $f : X \rightarrow \mathbb{P}^1$, and consider the images $F_i \subset Z$ of the curves $C_i \subset X$. Then $F_0, 2F_1, \dots, 2F_4$ are schematic fibers for g , and it follows that the Weil divisor $A = 2F_0 - (F_1 + \dots + F_4)$ has order two modulo principal divisors. Fix an identification $\mathcal{O}_Z(-2A) = \mathcal{O}_Z$. For the reflexive rank-one sheaf $\mathcal{F} = \mathcal{O}_Z(A)$, we obtain a canonical map $\mathcal{F}^\vee \otimes \mathcal{F}^\vee \rightarrow \mathcal{O}_Z$, which endows the coherent sheaf $\mathcal{A} = \mathcal{O}_Z \oplus \mathcal{F}^\vee$ with the structure of a \mathcal{O}_Z -algebra graded by the group $\mathbb{Z}/2\mathbb{Z}$. Let $\epsilon : \tilde{Z} \rightarrow Z$ be the resulting finite Z -scheme, which is irreducible and Cohen–Macaulay. Note that this construction depends on the identification $\mathcal{O}_Z(-2A) = \mathcal{O}_Z$, which is unique up to factors from $\Gamma(Z, \mathcal{O}_X)^\times = k^\times$; it follows that the Z -scheme \tilde{Z} is unique up to unique isomorphism. The $\mathbb{Z}/2\mathbb{Z}$ -grading on \mathcal{A} corresponds to an action of the group scheme $G = \mu_2$ on \tilde{Z} , with quotient $Z = \tilde{Z}/G$. Over the regular locus $U = \text{Reg}(Z)$, the action is free, and the quotient map becomes a G -torsor.

Let $g' : Z \rightarrow \mathbb{P}^1$ be the morphism induced by the fibration $f' : X \rightarrow \mathbb{P}^1$. The situation is actually symmetric in g and g' : Using the dual graph given in Figure 1, one sees that the divisors

$$2C_0 - (C_1 + \dots + C_4) \quad \text{and} \quad 2C'_0 - (C'_1 + \dots + C'_4)$$

have the same intersection numbers with all curves occurring in the dual graph. Since these generate the Picard group up to finite index and $\text{Pic}^\tau(X) = 0$, we conclude that the above divisors differ by a principal divisor. Let $F'_i \subset Z$ be the images of the curves $C'_i \subset X$, and set $A' = 2F'_0 - (F'_1 + \dots + F'_4)$. Then $2F_0 - (F_1 + \dots + F_4)$ and $2F'_0 - (F'_1 + \dots + F'_4)$ also differ by a principal divisor. In turn, our double covering $\epsilon : \tilde{Z} \rightarrow Z$ defined with $\mathcal{F} = \mathcal{O}_Z(A)$ is equivariantly isomorphic to the double covering defined with $\mathcal{F}' = \mathcal{O}_Z(A')$.

The main task now is to identify \tilde{Z} with the self-product $C \times C$ of the rational cuspidal curve. We start by computing the Euler characteristic for the structure sheaf, which boils down to compute $\chi(\mathcal{F})$ on the normal K3 surface Z . First note that the half-fibers F_i , $1 \leq i \leq 4$ are copies of the projective line: To see this, write $h : X \rightarrow Z$ for the contraction. For each A_1 -singularity $z_{ij} \in Z$, the schematic fiber is given by $h^{-1}(z_{ij}) = C_{ij}$, according to [1], Theorem 4, and this ensures that the induced morphism $h : C_i \rightarrow F_i$ is an isomorphism. Consider the disjoint union $F = F_1 \cup \dots \cup F_4$. The short exact sequence $0 \rightarrow \mathcal{O}_Z(-F) \rightarrow \mathcal{O}_Z \rightarrow \mathcal{O}_F \rightarrow 0$ immediately gives $\chi(\mathcal{O}_Z(-F)) = \chi(\mathcal{O}_Z) - 4\chi(\mathcal{O}_{\mathbb{P}^1}) = -2$. In contrast to the half-fibers, the fiber F_0 is a copy of the rational cuspidal curve: The D_4 -singularity $z \in Z$ has schematic fiber $h^{-1}(z) = 2E_0 + E_1 + E_2 + E_3$, again by [1], Theorem 4. In turn, $h^{-1}(z) \cap C_0 = C_0 \cap 2E_0$ is a local Artin scheme of length two, which is mapped to the closed point $z \in F_0$ under the induced morphism $C_0 \rightarrow F_0$. It follows that F_0 is the rational cuspidal curve. Since F_0 is a fiber, we furthermore have $2F_0 = F_0 \otimes k[\epsilon]$, where ϵ is an indeterminate subject to $\epsilon^2 = 0$. The short exact sequence $0 \rightarrow \mathcal{O}_Z(-F) \rightarrow \mathcal{O}_Z(2F_0 - F) \rightarrow \mathcal{O}_{2F_0} \rightarrow 0$ yields $\chi(\mathcal{F}) = \chi(\mathcal{O}_Z(-F)) + \chi(\mathcal{O}_{2F_0}) = -2 + 2 \cdot 0 = -2$. In turn, we get $\chi(\mathcal{O}_{\tilde{Z}}) = \chi(\mathcal{O}_Z) + \chi(\mathcal{F}) = 2 - 2 = 0$.

Next note that \tilde{Z} is reduced: If not, the structure morphism $\epsilon : \tilde{Z} \rightarrow Z$ admits generically a section. By the Valuative Criterion for proper morphism, such a generic section extends over an open subset $V \subset Z$ containing all points of codimension one. Thus $\epsilon^{-1}(V) \rightarrow V$ is a trivial G -torsor, and it follows that the invertible sheaf $\mathcal{F}|_U$ is trivial. In turn, the Weil divisor $A = 2F_0 - (F_1 + \dots + F_4)$ is principal over V , hence on Z . However, this Weil divisor is not principal at each rational double point of type A_1 , contradiction. Summing up, our surface \tilde{Z} is integral.

Furthermore, we observe that the dualizing sheaf is isomorphic to the structure sheaf. Indeed, we have $\omega_Z = \mathcal{O}_Z$, whence $\omega_{\tilde{Z}}$ is trivial over the open set $\epsilon^{-1}(U)$. Since ω_Z is Cohen–Macaulay, we must have $\omega_{\tilde{Z}} = \mathcal{O}_{\tilde{Z}}$. We now get the cohomological invariants: $h^0(\mathcal{O}_{\tilde{Z}}) = 1$ because \tilde{Z} is integral, $h^2(\mathcal{O}_{\tilde{Z}}) = 1$ by Serre duality, and finally $h^1(\mathcal{O}_{\tilde{Z}}) = 2$ according to the computation of the Euler characteristic.

Consider the composition $\tilde{g} : \tilde{Z} \rightarrow \mathbb{P}^1$ of the double covering $\tilde{Z} \rightarrow Z$ with the fibration $g : Z \rightarrow \mathbb{P}^1$, and let $D = \text{Spec } \tilde{g}_*(\mathcal{O}_{\tilde{Z}})$ be the Stein factorization. Then D is an integral curve, which turns out to be non-normal. The morphism $D \rightarrow \mathbb{P}^1$ is a finite universal homeomorphism, and we claim that it has degree two. Indeed, the sheaf \mathcal{F} and the structure sheaf \mathcal{O}_Z become isomorphic over the generic geometric fiber $S = f^{-1}(\bar{\eta})$, and it follows that the G -torsor $\epsilon : \tilde{Z} \rightarrow Z$ becomes trivial when pulled back to S . It follows that the locally free sheaf $\tilde{g}_*(\mathcal{O}_{\tilde{Z}})$ has rank two.

We observed at the beginning that $f' : X \rightarrow \mathbb{P}^1$ is quasielliptic. So besides the five reducible fibers corresponding to (9), all closed fibers are copies of the rational cuspidal curve. The corresponding fibers $Z_a = g'^{-1}(a)$, $a \in \mathbb{P}^1$ on the normal K3

surface Z are contained in $\text{Reg}(Z)$, and the induced torsor $\tilde{Z}_a = \epsilon^{-1}(Z_a) \rightarrow Z_a$ is trivial. In turn, the reduction $(\tilde{Z}_a)_{\text{red}}$ is another copy of the rational cuspidal curve. Taking degrees in the commutative diagram

$$\begin{array}{ccc} (\tilde{Z}_a)_{\text{red}} & \xrightarrow{\epsilon} & Z_a \\ \tilde{g} \downarrow & & \downarrow g \\ D & \xrightarrow{\text{can}} & \mathbb{P}^1, \end{array}$$

we see that the finite dominant morphism $\tilde{g} : (\tilde{Z}_a)_{\text{red}} \rightarrow D$ is birational. In particular $h^1(\mathcal{O}_D) \geq 1$ holds.

By symmetry, the above reasoning also applies for the composition $\tilde{g}' : \tilde{Z} \rightarrow \mathbb{P}^1$ of the double covering $\epsilon : \tilde{Z} \rightarrow Z$ with the other fibration $f' : Z \rightarrow \mathbb{P}^1$, and the ensuing Stein factorization $D' = \text{Spec } \tilde{g}'_*(\mathcal{O}_{\tilde{Z}})$. Consider the resulting morphisms $\tilde{Z} \rightarrow D$ and $\tilde{Z} \rightarrow D'$ and the ensuing diagonal morphism $\varphi : \tilde{Z} \rightarrow D \times D'$ between integral schemes, which is proper and dominant. We claim that it is birational: In the commutative diagram

$$\begin{array}{ccc} \tilde{Z} & \xrightarrow{\epsilon} & Z \\ \varphi \downarrow & & \downarrow (g, g') \\ D \times D' & \longrightarrow & \mathbb{P}^1 \times \mathbb{P}^1, \end{array}$$

the upper map has degree two, the right map has degree two, and the lower map has degree four. It follows that $\deg(\varphi) = 1$.

Next, we claim that the integral curves D, D' have $h^1(\mathcal{O}_D) = h^1(\mathcal{O}_{D'}) = 1$. Seeking a contradiction, we assume that this does not hold. Without restriction, we have $h^1(\mathcal{O}_D) \geq 2$ and $h^1(\mathcal{O}_{D'}) \geq 1$. The canonical injection $H^1(\mathcal{O}_D) \subset H^1(\tilde{Z}, \mathcal{O}_{\tilde{Z}})$ must be an equality, by dimension reasons. To proceed, consider a fiber $\tilde{Z}_a = \tilde{g}^{-1}(a)$ such that the induced projection $g' : \tilde{Z}_a \rightarrow D'$ is birational. Then the composite map $H^1(D', \mathcal{O}_{D'}) \rightarrow H^1(\tilde{Z}, \mathcal{O}_{\tilde{Z}}) \rightarrow H^1(\tilde{Z}_a, \mathcal{O}_{\tilde{Z}_a})$ is surjective. Hence there is a cohomology class $\alpha \in H^1(\tilde{Z}, \mathcal{O}_{\tilde{Z}})$ whose restriction to the fiber $\tilde{Z}_a = g^{-1}(a)$ is non-zero. On the other hand, any cohomology class lies in the image of $g^* : H^1(D, \mathcal{O}_D) \rightarrow H^1(\tilde{Z}, \mathcal{O}_{\tilde{Z}})$, whence vanishes on Z_a , contradiction. Summing up, we have $h^1(\mathcal{O}_D) = h^1(\mathcal{O}_{D'}) = 1$.

Since the morphism $D \rightarrow \mathbb{P}^1$ and $D' \rightarrow \mathbb{P}^1$ are purely inseparable, we infer that both D, D' are copies of the rational cuspidal curve. Summing up, we have a birational morphism $\varphi : \tilde{Z} \rightarrow C \times C$ between proper integral schemes, which are Gorenstein with trivial dualizing sheaves. Consider the resulting conductor square

$$\begin{array}{ccc} R & \longrightarrow & \tilde{Z} \\ \downarrow & & \downarrow \\ B & \longrightarrow & C \times C, \end{array}$$

where $B \subset C \times C$ is defined by the annihilator ideal for $\varphi_*(\mathcal{O}_{\tilde{Z}})/\mathcal{O}_{C \times C}$, and $R = \varphi^{-1}(C)$ is its schematic preimage. If non-empty, the schemes B, R are equidimensional of dimension one, and without embedded components, because both \tilde{Z}

and $C \times C$ are Cohen–Macaulay. The adjunction formula gives $\omega_{\tilde{Z}} = \varphi^*(\omega_{C \times C})(-R)$. It follows that R and hence also B is empty, thus $\varphi : \tilde{Z} \rightarrow C \times C$ is an isomorphism.

Summing up, our normal K3 surface Z is isomorphic to the quotient for a faithful action of $G = \mu_2$ on the self-product $\tilde{Z} = C \times C$. In other words, the K3 surface X is a Kummer K3 surface $\text{Km}(C \times C)$ formed with the group scheme $G = \mu_2$. \square

Note that the crucial identification $\tilde{Z} = C \times C$ could also be established with explicit computations of coordinate rings, as the referee pointed out. The above coordinate-free argument might be useful in more general situations, when $C \times C$ is replaced by non-normal surfaces without product structures.

Remark 4.2. Assume that a K3 surface X is defined over an algebraically closed field k of characteristic $p \neq 2$ and contains a double Kummer pencil. Recall that this is a configuration of twenty-four (-2) -curves as in Figure 1 but without $E_0 + \dots + E_3$. The divisors $E_{11} + E_{12} + E_{13} + E_{14} + 2C_1$ and $E_{11} + E_{21} + E_{31} + E_{41} + 2C'_1$ define elliptic fibrations with four singular fibers of type I_0^* . It follows that the divisor $\sum_{1 \leq i, j \leq 4} E_{ij}$ is divided by 2 in $\text{Pic}(X)$ which defines a double covering $Y \rightarrow X$ branched along sixteen curves E_{ij} . The preimages of C_i, C'_i are elliptic curves and those of E_{ij} are exceptional curves. By contracting these sixteen exceptional curves, we obtain an abelian surface A . The surface A contains two elliptic curves E, E' (e.g. the preimages of C_1 and C'_1) meeting transversally at one point. This implies that $A \simeq E \times E'$ and hence $X \simeq \text{Km}(E \times E')$.

5. CHARACTERIZATION WITH ARTIN INVARIANTS

Let k be an algebraically closed ground field of characteristic $p \geq 0$, and let X be a K3 surface. Then $\text{Pic}(X)$ is a free abelian group of rank $\rho \leq 20$ or $\rho = 22$ endowed with a non-degenerate intersection pairing.

Lemma 5.1. *Suppose X and X' are K3-surfaces such that there is an isometry between Picard groups. Let $C_1, \dots, C_r \subset X$ be (-2) -curves. Then there are (-2) -curves $C'_1, \dots, C'_r \subset X'$ so that the intersection matrices $N = (C_i \cdot C_j)$ and $N' = (C'_i \cdot C'_j)$ coincide.*

Proof. By assumption there is an isomorphism $f : \text{NS}(X) \rightarrow \text{NS}(X')$ respecting the intersection pairing. According to Rudakov and Shafarevich [19], Section 3, Proposition one may choose f that the induced map between real vector space yields a bijection $\text{Nef}(X) \rightarrow \text{Nef}(X')$ between the nef cones (see also Shimada and Zhang [22], Proposition 3.1). In turn, it induces a bijection $\overline{\text{NE}}(X) \rightarrow \overline{\text{NE}}(X')$ between the cones of curves, which are dual to the nef cones. But on any smooth projective surface S , the extremal rays $\mathbb{R}_{\geq 0}c \subset \overline{\text{NE}}(S)$ with $c^2 < 0$ correspond to the integral curves $C \subset S$ with $C^2 < 0$, according to Kollár [11], Lemma 4.12. On K3 surfaces, these are exactly the (-2) -curves. In turn, our chosen map $f : \text{NS}(X) \rightarrow \text{NS}(X')$ induces a bijection between the classes of (-2) -curves on X and X' , and respects their intersection numbers. \square

Now suppose that X is a K3-surface with $\rho = 22$. Then we are in characteristic $p > 0$, the K3-surface is supersingular, and the Picard group $\text{Pic}(X)$ is determined up to isometry by the Artin invariant $1 \leq \sigma \leq 10$.

Theorem 5.2. *Let X be a supersingular K3 surface in characteristic 2 with Artin invariant $\sigma \leq 3$. Then X is isomorphic to a Kummer surface $\text{Km}(C \times C)$ associated with the group scheme $G = \mu_2$.*

Proof. According to Proposition 3.5, there is a Kummer surface X' associated with the group scheme $G = \mu_2$ whose Artin invariant coincides with the given σ . Note that X' depends on the chosen embedding $h' : G \rightarrow \text{Aut}_{C \times C}$. According to Proposition 3.3 it contains thirty (-2) -curves with simple normal crossings and dual graph as in Figure 1. By Lemma 5.1 such a configuration also exists on X . According to Theorem 4.1 the K3 surface X must be a Kummer surface associated with the group scheme G , for another embedding $h : G \rightarrow \text{Aut}_{C \times C}$. \square

6. ENRIQUES SURFACES AND K3-LIKE COVERINGS

Keum [10] showed that every Kummer surface $X = \text{Km}(A)$ over the field $k = \mathbb{C}$ of complex numbers admits a free action of the group $H = \mathbb{Z}/2\mathbb{Z}$. Hence the quotient $Y = X/H$ is an Enriques surface, and the Kummer surface arises as its *K3-covering*. The result was extended to characteristic $p \neq 2$ by Jang [8]. If the abelian surface is a product $A = E \times E'$, the *Lieberman involutions*

$$E \times E' \longrightarrow E \times E', \quad (a, a') \longmapsto (a + \zeta, -a' + \zeta')$$

induce such free H -actions on $X = \text{Km}(E \times E')$, where ζ, ζ' denote non-zero 2-division points.

We now establish an analogue for Kummer surfaces associated with group schemes. The case of Artin invariant $\sigma = 1$ was already treated by the first author [12].

Theorem 6.1. *Let X be a supersingular K3 surface with Artin invariant $\sigma \leq 3$ over an algebraically closed ground field k of characteristic $p = 2$. Then there is a contraction $X \rightarrow X'$ of twelve (-2) -curves such that the normal K3 surface X' is the K3-like covering of some simply-connected Enriques surface Y .*

Proof. According to Theorem 5.2, our K3 surface can be written as a Kummer surface $X = \text{Km}(C \times C)$ associated with the group scheme $G = \mu_2$. By Theorem 4.1 it contains a configuration of thirty (-2) -curves E_{ij}, C_r, C'_r, E_s with dual graph in Figure 1. The curves

$$E_{11} + E_{14} + E_{41} + E_{42} + E_{22} + E_{23} + E_{33} + E_{34} + \sum_{i=1}^4 (C_i + C'_i)$$

form a singular fiber of type I_{16} . Let $f : X \rightarrow \mathbb{P}^1$ be the resulting elliptic fibration. The connected curve $E_0 + \dots + E_3$ is vertical with respect to this fibration, hence belongs to some fiber, say $f^{-1}(\infty)$. Let $s \geq 1$ be the number of irreducible components in this fiber. Then the trivial lattice $T(X/\mathbb{P}^1)$ has rank $r \geq 15 + (s - 1) + 2 = s + 16$. Since this lattice has rank at most $\rho = 22$, we infer that $s \leq 6$. It follows that $f^{-1}(\infty)$ has Kodaira symbol I_n^* with $n \leq 1$, and that it is the only non-reduced fiber.

Let $X \rightarrow X'$ be the contraction of the eight disjoint curves $C_1, \dots, C_4, C'_1, \dots, C'_4$ together with $E_0 + \dots + E_3$. Then X' is a normal K3 surface with eight rational double points of type A_1 , together with a rational double point of type D_4 . Moreover, $f : X \rightarrow \mathbb{P}^1$ descends to a fibration $f' : X' \rightarrow \mathbb{P}^1$. We will apply a result of the

second author ([21], Theorem 6.4) to see that X' is a K3-like covering; for this we have to verify certain conditions (E0), (E2), (E5) and (E6):

First note that the fibers of $f' : X' \rightarrow \mathbb{P}^1$ are reduced, because $f^{-1}(\infty)$ is the only non-reduced fiber for $f : X \rightarrow \mathbb{P}^1$ and all components with multiplicity $m \neq 1$ are contracted by $X \rightarrow X'$. Thus condition (E0) is satisfied.

Recall that the *Tjurina number* of an isolated hypersurface singularity given by a power series equation $f(t_1, \dots, t_n) = 0$ is the colength of the ideal $\mathfrak{a} \subset k[[t_1, \dots, t_n]]$ generated by f and its partial derivatives $\partial f / \partial t_i$, compare the discussion in [21], Section 1. Each A_1 -singularity is formally isomorphic to $z^2 + xy = 0$, whence its Tjurina number is $\tau = 2$. According to Artin's computations, there are exactly two formal isomorphism classes of D_4 -singularities, one of which is simply-connected ([3], Section 3). In light of the universal homeomorphism $\mathbb{P}^1 \times \mathbb{P}^1 \rightarrow (C \times C)/G$, our rational double point must be simply-connected, hence is formally given by $z^2 + x^2y + xy^2 = 0$, with Tjurina number $\tau = 8$. We see that the Tjurina numbers of the singularities add up to $n = 24$, so (E2) holds. Moreover, all singular local rings $\mathcal{O}_{X',a}$ are Zariski singularities, hence (E6) is true. In particular the stalks $\Theta_{X',a}$ of the tangent sheaf are isomorphic to $\mathcal{O}_{X',a}^{\oplus 2}$, see [21], Corollary 1.6.

The configuration $C_1 + E_{13} + C'_3 + E_{43} + C_4 + E_{42} + C'_2 + E_{12}$ defines another elliptic fibration $g : X \rightarrow \mathbb{P}^1$, which descends to an elliptic fibration $g' : X' \rightarrow \mathbb{P}^1$. It follows that there is an elliptic curve $F \subset X'$ that is horizontal for $f' : X' \rightarrow \mathbb{P}^1$. This establishes condition (E5).

Summing up, [21], Theorem 6.4 applies, and we conclude that $\Theta_{X'/k} = \mathcal{O}_{X'}^{\oplus 2}$, all members of the restricted Lie algebra $\mathfrak{g} = H^0(X, \Theta_{X'/k})$ are p -closed, and for almost all non-zero vectors $\delta \in \mathfrak{g}$ the corresponding local group scheme $H \subset \text{Aut}_{X'/k}$ of order p acts freely, such that the quotient $Y = X'/H$ is an Enriques surface, with X' as the K3-like covering. \square

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